Yet Another Extended Hückel Molecular Orbital Package (YAeHMOP) Version 3.0 User Manual

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What is YAeHMOP and why should I use it?

YAeHMOP is a group of programs for performing extended Hückel calculations [1, 2] and analyzing and visualizing the results. The programs bind and viewkel form the core of the package.

1 bind

bind is the program which performs the actual extended Hückel calculations. It can be used to perform calculations on both isolated molecules and extended systems of 1, 2, or 3 dimensions.

bind is an almost complete rewrite of the program new3 and is written almost entirely in C (the routines for evaluating overlap matrix elements and diagonalizing the Hamiltonian matrix from new3 remain) Because of the fact that all memory used in the program is allocated dynamically, there are no restrictions on the number of atoms, K points, or orbitals which can be used (this isn't totally true: there is a limit of 20 user defined atom types). The only limitation is the amount of memory that your computer has and the length of time which you are willing to wait for the run to finish.

Because of the fact that bind is written to be easy to maintain and understand, we have not spent a lot of time trying to make it fast. This isn't to say that it's slow, but it certainly could be faster.

The input files to bind are keyword based, so, with a few exceptions, it doesn't really matter what order things are in. In addition, white space (spaces, tabs, etc.) in the input are ignored.

Here are just a few reasons to use bind :

- Built in parameters for most elements.
- Gaussian style Z–matrix, standard Cartesian, or crystallographic coordinate input.
- Automatic generation of points along a reaction coordinate (for Walsh diagrams).
- Particular pieces of information can be monitored at each step along a reaction coordinate (e.g. the reduced overlap population between two atoms can be printed at each step along a Walsh diagram).
- Automagic generation of K points along symmetry lines for band structures.

- Orientation independent determination of symmetry elements.
- More symmetry elements are found (up through S_8).
- DOS and COOP data are in ASCII format, so you can plot the data with any plotting program... though you will *want* to use viewkel :-).

2 viewkel

viewkel is an X–Windows based, interactive program for displaying and printing the results obtained using bind (though there's no reason that it can't be made to display data from other programs).

Some of viewkel 's features are:

- Interactive 3-D manipulation of molecular structures.
- Support for extended systems: "grow" crystals of any size.
- Postscript output.
- It doesn't use Motif.
- Ability to place as many structures and graphs as desired on the same page.
- Numerous options for displaying molecules and MO surfaces.
- Automatic generation of input files for rayshade , a freeware raytracing program. This can be used to get extra gratuitous color 3D plots.
- The official Roald Hoffmann seal of approval on the way the output looks. (**NOTE:** this feature is still under development.)

Both bind and viewkel were written to be as easy to port to other flavors of UNIX as possible. This is one of the reasons why viewkel doesn't use any of the snazzy user interface libraries which are available.

What's new in version 3.0?

Quite a few new features have been added to YAeHMOP and one or two 'problems' have gone away :-)

The YAeHMOP development team has also increased in size as of this release ... so please use the version 3.0 citations when publishing results generated with YAeHMOP . Thanks !

Additions to bind include ...

- f-orbitals ... at last !!!
- COOP's in a fragment molecular orbital (FMO) basis
- Hamilton population analysis: a tool for total energy partitioning built on the orbital, atom and fmo COOP options offered in YAeHMOP [3, 4, 5]

also the 'known bugs' section of the 'those damn bugs' chapter of the version 2.0 manual has vanished ... so there are absolutely **NO** bugs left in YAeHMOP :-)

What's new in version 2.0?

A bunch of stuff has been added to this version. This is almost certainly the last non-bug fix release of the programs until I graduate.

- Numerous bug fixes.
- Much improved MO plotting. Including Jorgenson and Salem (or CACAO) style plots that can be rotated in "real-time" to find the optimal viewing angle. Also included are contour plots of MOs.
- Fragment Crystal Orbital analysis, a new interpretive tool for crystalline systems.
- A new keyword allowing diagonalization of the hamiltonian without including the overlap matrix.
- viewkel now provides distances, angles, and dihedral angles between selected atoms in molecules.
- There are several new options for display of molecules in viewkel . Including tube bonds and pseudo-3D crosses.

What's new in version 1.2?

- Support for using LAPACK routines to diagonalize the matrices.
- A version for Power Macs.
- I have more faith in the MO drawings now. The normalization constants were right and now that I evaluate them in atomic units the pictures look right too. Thanks Grisha!
- More juicy raisins in every bite!
- Other things that escape me at the moment.

Overview of how a calculation is done

So you've come up with a cool problem (or it was assigned in class), and you want to do an extended Hückel calculation using YAeHMOP . The goal of this section is to get you familiar with the basic process for moving from initial idea to final graphs and pictures.

The first step is to set up your input file. Basically, the input file contains a specification of the geometry of your molecule or extended system, the number of electrons in the system, any special information needed (the ranges of Walsh variables, any special parameters you may want to use, etc.) and any printing options that you want to set.

Here's a minimal input file example called `foo.bind`

```
; the name of the job
A silly example: a square of H atoms

; specification that this is a molecular problem
Molecular

;the geometry
geometry
4
1 H 0.0 0.0 0.0
2 H 1.0 0.0 0.0
3 H 1.0 1.0 0.0
4 H 0.0 1.0 0.0

; The number of electrons
```

```
Electrons
4

; printing options
PRINT
Overlap Population
Reduced overlap population
charge matrix
wavefunction
end_print
```

The contents of this file will be explained later.

To run the file execute the following command:

`bind foo.bind`

This will create two output files: `foo.bind.status` has some status information; `foo.bind.out` has all the results in it.

That's it!

If you had done a Walsh diagram or an average properties calculation then there are some utility programs that need to be run to get the data in shape to be displayed. These will be discussed a later.

The data and results are now ready to be displayed using viewkel or your favorite plotting program.

The input file

Like many other programs, the input file for bind is based on keywords. This allows the file to be broken into logical blocks and makes the format for constructing the file a little less rigid. Each keyword is described below. Note that the input routine (the parser) is not case sensitive when dealing with keywords, i.e. Electrons, electrons, and ELECTRONS will all work.

Any line in the input file which begins with a semicolon is ignored. This allows comments to be put into the input file. It also makes it easy to temporarily change the contents of a file, just put a semicolon in front of anything you don't want the program to read. Blank lines and spaces in the input are also ignored.

The first non-empty line should contain the title of the job.

3 Keywords

The remainder of the file contains the keywords which control the job. These keywords are all described below.

The notation used is as follows:

- Words in sans-serif style, such as foo, are keywords.
- Words in slanted type, such as *bar* , are variable names used within the program. They are used for convenience.

3.1 Geometry **(required)**

The line following this keyword should have the number of atoms: *num_atoms* .

If the line containing the keyword Geometry also contains the string Z Matrix then the input is take to be in Gaussian style Z matrix format. If the line containing Geometry also contains the string Crystallographic then the input is taken to be in fractional coordinates and the Crystal Spec keyword must be specified. Otherwise the input is assumed to be in Cartesian coordinates. If you are unfamiliar with Z matrix input, it is described in a later chapter of this document.

The following *num_atoms* lines should contain the atomic coordinates and types in the following fashion:

- Z-matrix Input: *number atom_label ref1 r ref2 alpha ref3 beta*
- Cartesian Input: *number atom_label X Y Z*
- Crystallographic Input: *number atom_label X Y Z*

number is the number of the atom. There is no reason why the atoms in the list have to be in increasing numeric order.

atom_label is the label for the atom, it should be one or two characters long. If the atom label is a single asterix (*), then the atom is taken to be a custom type and a symbol and parameters should be provided for it in the Parameters section. If the atom label is an ampersand (&), then the atom is taken to be a dummy atom.

The other variables are the coordinates for the atom in whatever system is being used. If a reaction coordinate is being traced out (see

keyword Walsh below), any coordinate which is an integer multiple of 1000 is assumed to be variable. For example: placing 2000 as the X coordinate of some atom would cause that coordinate to take on the values of the second Walsh variable.

3.2 Lattice (mandatory for extended systems)

NOTE: If this keyword appears in the file it *must* follow the Geometry keyword in the input file.

These are the lattice parameters. The keyword should be followed by a line containing the dimensionality of the crystal. The next line contains the number of overlaps considered along each lattice direction. The following lines should contain the lattice vectors in the form: *atom1 atom2* , where *atom1* is the beginning of the vector (it is inside the unit cell) and *atom2* is the end of the vector (it is outside the unit cell).

NOTE: The ends of the lattice vectors must be the highest numbered atoms in the geometry specification. For example, if there are are 6 atoms defined for a 3 dimensional unit cell, then the ends of the three lattice vectors must be numbers 4, 5, and 6.

Only a number of lattice vectors equal to the dimensionality of the crystal need to be provided. If you feel like putting in zeroes for the other lattice vectors, go ahead... we can't stop you.

3.3 Crystal Spec (required for use of crystallographic coordinates)

This section has the stuff needed to define the crystal lattice so that crystallographic coordinates can be used.

The first line following the Crystal Spec keyword should contain the lengths of each of the lattice vectors. The next line should contain the crystallographic angles α, β and γ.

Variables in the Crystal Spec section can be used as variables in Walsh diagrams in exactly the same way as variables in the Geometry section, i.e. by using integer multiples of 1000 as values.

For example, the following Geometry, Lattice and Crystal Spec sections define a body centered lattice of H atoms where the length of the c lattice vector is the first Walsh Variable.

```
Geometry Crystallographic
5
1 H 0 0 0
2 H 0.5 0.5 0.5
3 H 1 0 0
4 H 0 1 0
5 H 0 0 1

Lattice
3
5 5 5
1 3
1 4
1 5

Crystal Spec
; a  b  c
  1  1  1000
; alpha  beta  gamma
   90     90     90
```

3.4 Electrons (potentially required)

The line following this keyword should have the number of valence electrons in the molecule (or unit cell for an extended system).

3.5 Charge (potentially required)

The line following this keyword should have the charge on the molecule (or unit cell for an extended system).

Either the Charge or Electrons keywords must appear in the input file.

3.6 Alternate Occups

This keyword is for looking at the effects of changing the number of electrons in the unit cell upon the position of the Fermi level, the average energy, and orbital occupations. This is a far more efficient way of probing these changes than rerunning the calculation with alternate electron numbers.

On the line following the keyword, the number of alternate occupations (*num_occups*) should be given. The next line contains the step that is to be taken between occupations.

For example, the following input fragment would result in the program doing a calculation with 5 electrons, then printing out the Fermi level, average energy, net charges, and orbital occupations for 4.8,4.6,4.4,4.2, and 4.0 electrons per unit cell.

```
Electrons
5

Alternate Occup
; num_occups
5
; the step
-.2
```

3.7 Parameters **(optional)**

NOTE: If this keyword appears in the file it *must* follow the Geometry keyword in the input file.

There should be a line following this keyword for each type of custom atom which is being defined. Recall that a custom atom is defined by replacing the first occurance of that atom's label in the Geometry specification with an asterix: ∗. If there are multiple custom atom types, then define them in this section in the order in which they occurred in the Geometry section.

The format of a parameter specification is:

Symbol Atomic_Number Num_Valence_Electrons n_s ζ_s IP_s n_p ζ_p IP_p n_d $\zeta 1_d$ IP_d *c1* $\zeta 2_d$ *c2*

and if you're dealing with f-elements (which, like d orbitals, are described by a double zeta expansion) add: n_f $\zeta 1_f$ IP_f *c1* $\zeta 2_f$ *c2* to the end of the parameter specification.

In this specification, the ζ values are the radial exponents of the Slater type orbitals and the H_{ii} values are the valence state ionization potentials (diagonal elements of the hamiltonian) for each AO. Here's an example of a section of input file where 3 different custom atoms are defined:

```
Geometry
8
1 *     .000000000     .000000000     .000000000
2 O    1.952000000    1.952000000     .000000000
3 *    1.952000000     .000000000    -.3
4 O    1.952000000     .000000000    1.4862
5 *    0.000000000    1.952000022    1.9422
6 O    3.904000044     .000000000     .000000000
7 O     .000000000    3.904000044     .000000000
8 O     .000000000    0.0         4.152

Parameters
O  8 6 2 2.275 -32.3 2 2.275 -14.8
Ti 22 4 4 1.075 -8.970 4 1.075 -5.400 3 4.55 -10.81 .4206 1.400 .7839
Pb 82 4 6 2.50  -16.70 6 2.06 -8.000
```

In this example, atom 1 is an O, atom 3 is a Ti, and atom 5 is a Pb. Atoms 2,4,6,7, and 8 will use the same parameters as atom 1. **Clarification:** The parameters specified here for O are the default parameters.

3.8 Molecular **(mandatory for molecular calculations)**

Indicates that a molecular calculation (not an extended one) is being performed. Otherwise it is assumed that the calculation is on an extended system.

3.9 Just Geom **(optional)**

Do not actually do a calculation, just generate the molecular geometry. This is useful to check whether or not an input file is okay before running a calculation. It will also print the estimated memory requirements of bind for this run into the status file.

3.10 Walsh **(optional)**

Do a series of calculations along a reaction coordinate.

The next line should contain the number of variables (reaction coordinates): *num_Walsh_var* , and the number of steps to be taken along each coordinate: *num_steps* . There should then be *num_Walsh_var* lines consisting of a list of comma separated *num_steps* values.

To generate the values of a variable automagically, place an exclamation point (`!`) at the beginning of the line for that variable followed by the starting and ending values of the variable, separated by a comma. The program will generate *num_steps* values between those values.

For example, the following sample section will generate a reaction with coordinate with 5 steps and 2 variables. The values of the first variable will be automatically generated between 1.0 and 2.0, the values of the second variable are specified explicitly.

```
Walsh
; the number of variables and number of steps
2 5
; use Auto-Walsh for the first variable:
! 1.0,2.0
100.0,100.1,100.2,100.3,100.4
```

Please note that, while there are two Walsh variables, they are varied simultaneously. There is not, at this point, a capability to vary the Walsh variables independently in order to automatically generate a multi-dimensional potential energy surface.

3.11 Symmetry (optional)

Find and report all the symmetry elements possessed by the molecule. The characters of all wave functions with respect to these operations will also be reported.

Note: As is explained in the section of this manual on symmetry elements, bind does not actually find *all* symmetry elements. It only finds those which are aligned with the Cartesian axes. You can increase the number of symmetry elements which the program finds by making sure that it align with the axes in a reasonable manner.

3.12 Symm Tol (optional)

Allows the user to adjust the value of the tolerance used for determining whether or not symmetry elements are present in the molecule.

The next line should contain the new value of *symm_tol* .

If the position of an atom after a symmetry operation differs from that of an atom before the symmetry operation is applied by

less than *symm_tol* Å, then the two atoms are considered to be equivalent under the symmetry operation.

3.13 Principle Axes (optional)

Determine the center of mass and principle axes of the molecule and transform the atoms into the principle axis frame. This can allow the program to find more symmetry elements.

Note: Principle axes are only found if the `meschach` library was linked with bind . If you do not have `meschach`, then the program will just translate the molecule into the center of mass frame before finding symmetry elements.

3.14 Zeta (optional)

Toggles self consistent variation of radial exponents. This is under development and if you don't know what it means, you probably shouldn't be using it.

3.15 Nonweighted (optional)

Use the non-weighted H_{ij} form [6]. By default the weighted H_{ij} form is used in order to reduce problems arising from counter–intuitive orbital mixing (gasp!) [7, 8].

3.16 The Constant (optional)

Allows replacement of the value K used in evaluating the H_{ij} elements. The next line should contain the new value for K. By default K =1.75.

3.17 Zero Overlap (optional)

Set some elements of the overlap matrix to zero. The next line should contain the number of different types of overlap being set to zero (*num_to_zero*). The next *num_to_zero* lines should consist of:

type contrib1 contrib2 which

type should be either `Atom` or `Orbital` to indicate which type of overlap is being zeroed. If *which* is set to Intercell, then overlaps between *contrib1* and *contrib2* *between* cells will be zeroed. If

which is set to Intracell then the overlaps *inside* the cell will be zeroed.

NOTE: This option should be used with caution, as it can result in a non-positive-definite overlap matrix and non-physical results.

3.18 Nearest Neighbor Contact (optional)

Determines the longest contact between atoms in nearest neighbor cells that will be reported in the output file. The next line should contain the new value. The default is 2.5 Å.

NOTE: This keyword only makes sense for extended systems.

3.19 K Points (mandatory for Average Properties calculations on extended systems)

A K point set for an average properties calculation. If you want to do a band structure, we recommend that you use the Band keyword.

The keyword is followed by a line containing the number of K points: *num_KPOINTS* . The next *num_KPOINTS* lines should contain the coordinates and weights of the K points themselves in the following form:

a b c weight

Each K point should go on its own line.

3.20 Band (optional)

Generate a band structure.

The line following the keyword should contain the number of K points to use along each symmetry line: *points_per_line* .

The next line should have the number of special points to be used: *num_special_points* .

The following *num_special_points* lines should have the names and locations of the special points in the form:

label x y z

The program will generate symmetry lines connecting the special points in the order in which they are defined. *points_per_line* K points will be generated automatically along each of these symmetry lines. The program will produce an additional output file containing

the information needed by viewkel to plot the band structure. If your input file is called `foo.bind`, then the band file will be called `foo.bind.band`.

For example, the following segment will generate a band diagram with symmetry lines containing 40 K points running from Γ to X to M and then back to Γ:

```
Band
; the number of points along each line
40
; the number of special points
4
Gamma 0.0 0.0 0.0
X     0.5 0.0 0.0
M     0.5 0.5 0.0
Gamma 0.0 0.0 0.0
```

3.21 FMO (optional)

Perform Fragment Molecular Orbital analysis.

The next line should contain the number of fragments *num_FMO_frags* . Note that *num_FMO_frags* should be ≥ 1. There is no upper limit on the number of fragments.

The next line consists of a comma delimited list of the number of electrons in each fragment.

The following *num_FMO_frags* lines consist of comma delimited lists of the numbers of the atoms in each fragment.

In the specification of atoms for each fragment, you can use a hyphen (dash) to indicate groups of sequentially numbered atoms. For example, the following segment will generate 2 fragments, the first fragment containing atoms 1, 2, 3, 4, 5 and 8 and the second fragment containing atoms 6 and 7.

```
FMO
; the number of fragments
2
; the number of electrons in each fragment
12,2
; the lists of atoms contained in each fragment
1-5,8
6-7
```

If a molecular calculation is being done, the data necessary to construct an FMO interaction diagram will be written to a separate output file.

3.22 FCO (optional)

Perform Fragment Crystal Orbital analysis. The lines following this keyword are identical to those for the FMO keyword.

3.23 Average Properties (optional)

Do an average properties calculation for the system. This consists of:

- Generating a total DOS curve.
- Finding E_f, the Fermi energy.
- Determining the average overlap population and reduced overlap population matrices within the unit cell (if the printing option for these is set).
- Finding average orbital occupations and net charges.
- Reporting the average values of any COOPs specified (see keyword COOP).
- Show average occupations of Fragment MO's (if FMO analysis is being done).

NOTE: if you are doing an average properties calculation on an extended system, you *must* provide a set of K points, see keyword K Points.

Specifying an average properties calculation for a molecular problem will allow the generation of MOOP (Molecular Orbital Overlap Population) diagrams and the RCM (Reduced Charge Matrix) as for extended syatems.

3.24 No Total DOS (optional)

Turns off printing of the total DOS into the output file. This option can be used to conserve disk space when the total DOS isn't going to be looked at.

Note: If this keyword is specified neither total DOS nor projected DOS calculations will be done.

3.25 Dump Overlap (optional)

Toggles creation of a binary file containing the overlap matrix at each K point. This file can be used with the `matrix_view` utility to generate pictures of overlap matrices.

3.26 Dump Hamil (optional)

Toggles creation of a binary file containing the hamiltonian matrix at each K point. This file can be used with the `matrix_view` utility to generate pictures of hamiltonian matrices.

3.27 Dump Dist (optional)

Toggles creation of a binary file containing the distance matrix for the system. This .DMAT file can be used by the utiltity `cooperate` to generate specifications for COOPs automatically.

3.28 Projected DOS (optional)

This section contains the list of densities of states (DOS's) that will be projected. Each DOS can have multiple contributions which will be added up.

The keyword is followed by a line containing the number of different projections: *num_proj_DOS* . The next *num_proj_DOS* lines should consist of:

type contrib1 weight1 , *contrib2 weight2* ,...

type should be either `Atom`, `Orbital`, or `FMO` to indicate whether the contribution from an entire atom, a single orbital, or a fragment MO is being projected out.

There can be as many contributions to each projection as you like, just put it all on one line and separate the *contrib –weight* pairs by commas. Entries for a single projection can be spread over

multiple lines by placing a "\" at the end of each line. To **average** contributions make the sum of the individual contributions add up to 1.0. To **add** contributions, make each of the contributions 1.0. In general, it is a good idea to add projected DOS curves rather than average them.

3.29 COOP (optional)

Do a Crystal Orbital Overlap (or Hamilton) Population analysis [2, 5, 9, 10]. **Note:** the COOP option results in a Molecular Orbital Overlap (or Hamilton) Population analysis when the molecular keyword is specified.
The line following the keyword has the total number of COOPs specified (not just the number of different types): *tot_num_COOPs* . The next *tot_num_COOPs* lines should contain the definitions of the COOPs themselves in the form:

type which contrib1 contrib2 a b c

type should be either `Atom`, `Orbital` or `FMO` to indicate whether the COOP between atoms, orbitals or fragment MO's is being projected.

Setting *type* to `H-Atom`, `H-Orbital` or `H-FMO` will generate the corresponding Hamilton population between atoms, orbitals or fragment MO's respectively.

which is the number of the COOP. Multiple COOPs with the same value of *which* will be averaged.

The COOP reported is between *contrib1* in the unit cell and *contrib2* in a cell defined by the vector (*a b c*). For example, the following sample section will average the COOP between atoms 1 and 2 in the unit cell with that between atom 2 in the unit cell and atom 1 in the adjacent cell in the *b* direction:

```
COOP
; the total number of lines here:
2
; definitions of the COOPS
;type  which  contrib1  contrib2     a b c
Atom    1       1          2         0 0 0
Atom    1       2          1         0 1 0
```

Note: If you are doing a COOP calculation on a high-symmetry system where you are using K points within the irreducible wedge of the first Brillouin zone, it is very important that you average all symmetry equivalent bonds [11]. If you do not do so, your results may be inaccurate.

3.30 Printing (optional)

This keyword controls what information is printed into the output file. In most cases, this also controls what things the program actually calculates. For example, if the user doesn't request that the reduced overlap population matrix be printed, then there's no reason to calculate it. We have tried to make the program "smart" about what it calculates, but, there may be problems here. Please let us know if you see strange behavior.

This keyword is different from all the others in that the program expects it to be followed by another list of keywords. In fact, any keyword following Printing is assumed to be controlling what gets printed. The way to tell bind that you are done giving it printing options is to either let it hit the end of the file (i.e. to have Printing as the last keyword in your input file, or to put the keyword End_Print at the end of the printing options.

Each of the printing keywords is described below.

- Distance: Print the distance matrix.
- Overlap Population: Print the Mulliken overlap population matrix.
- Reduced Overlap Population: Print the Mulliken reduced overlap population matrix.
- Charge Matrix: Print the charge matrix.
- Wave Functions: Print the wavefunctions for the molecule.
- Net Charges: Print the net charges on the atoms, as determined using Mulliken population analysis.
- Overlap: Print the overlap matrix.

- Hamil: Print the hamiltonian matrix.
- Electrostatic: Print the electrostatic contribution to the total energy. **NOTE:** this is under development and is not to be considered reliable.
- Levels: Toggles the printing of energy levels at each k point in an extended calculation.
- Fermi: Print the Fermi energy (this is primarily useful in combination with the Walsh option, described below).
- Orbital Energy: Allows the energy of a particular orbital to be printed. (this is primarily useful in combination with the Walsh option, described below).
- Orbital Coeff: Allows the coefficient of a particular atomic orbital in a given molecular orbital to be printed. (this is primarily useful in combination with the Walsh option, described below).
- Orbital Mapping: Generates the scheme used to number the individual atomic orbitals in a calculation (especially useful when working out the contributions for COOP's)
- Levels Print out the calculated energy levels at each k point in an extended calculation.

Each of these options control printing at every K point and/or step along a reaction coordinate. Turning on all the printing options can lead to a **huge** output file if you have a lot of K points or steps in a Walsh diagram.

Placing the keyword Transpose after a printing option for a matrix will result in the transpose of the matrix being printed. This feature has been introduced to appease those who think that the default way of printing is stupid.

To facilitate the construction of graphs of various quantities (overlap populations, net charges, etc.) along a reaction coordinate, there is a second option that can be used with printing options. If you place the keyword Walsh on the same line as a printing option, then you can select a particular quantity to monitor along the Walsh diagram. These values are put into a separate file. If you are using an

input file named `foo.bind` then the values of these quantities would be put into `foo.bind.walsh`.

To use this feature, place Walsh after your printing keyword, then on the next line put the following three pieces of information:

type contrib1 contrib2

Once again, *type* is one of `Atom`, `Orbital` or `FMO` and *contrib1* and *contrib2* refer to particular atoms, orbitals or fragment MO's.

NOTE: there are certain combinations of these Walsh printing options which do not make sense. For example, specifying that you want to monitor the reduced overlap population between 2 orbitals is nonsensical. The program will notice this and complain, so just think a bit before you start printing everything out.

For example, the following section would print out the entire overlap population matrix and all the net charges at every step into the main output file, and then print the overlap population between orbitals 13 and 23 into the Walsh output file:

```
; start dealing with printing options
Print

Overlap Population
Net charges

; this is a Walsh printing value
Overlap Population   Walsh
Orbital 13 23

End_Print
```

3.31 MO Print (optional)

This keyword controls creation of a .MO file. This can be read in by viewkel to produce iso-surface plots of molecular and crystal orbitals.

The first line following the keyword contains the number of MO's to be printed: *num_MOs* . The next *num_MOs* lines contain the numbers of the individual MO's that should be printed.

If you are doing an extended calculation, the MO's will be printed at each k point. If you are doing a Walsh diagram, the MO's will be printed at each step along the reaction coordinate.

3.32 Orbital Occupations (optional)

This section is used to change the occupations of molecular orbitals. This is primarily useful for trying to model open shell systems or molecules in excited states.

The first line following the keyword should contain the number of orbital occupations to change, *num_occups* . The next *num_occups* lines consist of an integer specifying the orbital whose occupation should be changed and a real number specifying what the new occupation should be.

For example, the following piece of an input file would place 1 electron in both orbitals 49 and 50:

```
Orbital Occupations
; the number of occupations to change
2
; the orbitals and new occupations
49 1.0
50 1.0
```

3.33 Charge Iteration (optional)

bind has the capability to perform charge iteration (a self consistent adjustment of the H_{ii}s in order to lessen the amount of charge flow). The charge iteration algorithm in bind is somewhat experimental (... so beware!) and is discussed in the file **charge.ps**.

For those of you who know no fear here's a summary of the CI keywords:

The keywords controlling the CI process are sandwiched between the charge iteration and end charge keywords.

The keywords within the CI block are:

Param followed by a line giving the number of different atoms that parameters will be specified for: *num_CI_parms* each of the next *num_CI_parms* lines should contain the charge iteration parameters in the form: *Atomic_symbol* $s_A s_B s_C p_A p_B p_C d_A d_B d_C$

(i.e. the A, B, and C parameters for each of the orbitals. These are the same parameters used in the old programs for single configuration CI and are NOT distributed with YAeHMOP). **Note:** charge iteration for f orbitals is not supported.

Vary(follows Param) followed by a single line containing the numbers of the atoms whose parameters are to be varied. This is a

comma delimited list, you can use "-" to abbreviate series of atoms (i.e. 1-4 and 1,2,3,4 are equivalent)

Tolerance followed by a single line specifying the tolerance used to terminate the iteration

lambda followed by a single line specifying the step size for the iteration process

max iter followed by a single line specifying the maximum number of iterations

3.34 Sparsify (optional)

This is used to set small elements of the hamiltonian and overlap matrices to zero. The next line should contain the value which is considered to be zero.

NOTE: This is primarily here for development purposes and we'd encourage you not to use this.

3.35 Just Average E (optional)

Tells bind to only generate the average energy, total DOS, and Fermi level of the system. This causes the program to require considerably less memory when run on systems with a lot of orbitals.

If you are using a version of bind that uses the LAPACK libraries to diagonalize the matrices, then only eigenvalues will be generated. This can result in a significant speed increase. (A factor of 10 decrease in execution time for sufficiently large systems is possible !).

3.36 Just Matrices (optional)

Generate just the overlap and hamiltonian matrices, then exit. This is basically useless unless it is used in conjunction with either the Dump Overlap or Dump Hamil keywords, or the Hamiltonian or Overlap printing options.

3.37 Diagwo (optional)

Performs the matrix diagonalization without using the overlap matrix, so that a calculation is similar to a simple Hückel calculation.

Symmetry analysis

The symmetry analysis performed by bind is relatively extensive and flexible. While the program doesn't find all of the symmetry elements possessed by molecules, it does get a lot of them.

In order to make the symmetry analysis as flexible as possible, the molecule can first be moved to the center of mass frame of reference. The moments of inertia are then found and the whole molecule is rotated into the principle axis frame. This allows molecules which are not located exactly at the origin or aligned perfectly with the Cartesian axes to be analyzed.

The transformation to the principle axis frame is controlled by the keyword Principle Axes. If this keyword is not specified, the symmetry analysis will be done in the orientation specified in the Geometry section.

The program searches for the following symmetry elements:

- **an inversion center**
- **rotation axes** from C_2 through C_8 about the three Cartesian axes.
- **improper rotation axes** from S_3 through S_8 about the three Cartesian axes.
- **mirror planes** perpendicular to the Cartesian axes.

The elements found, their axes, and atoms which are equivalent under each operation are printed to the output file.

The characters of the wavefunctions with respect to each operation are determined by constructing the appropriate transformation matrix for each operation and transforming the vector of atomic orbital coefficients for each molecular orbital. The result of this process is the actual character of the wavefunction with respect to the symmetry operation, not just a symmetric/anti-symmetric label. It is important to realize that the results of this method of displaying the results of symmetry analysis can give results which are, at first, confusing for degenerate orbitals. If you are looking at the characters of a set of degerate orbitals and trying to compare them to the characters given in a character table, it is very important that you sum the characters of each of the members of the degenerate set.

When a reaction coordinate is being followed, bind first generates all the geometries along the coordinate and determines the symmetry elements which they possess. The only symmetry elements

reported are those which are conserved along the entire distortion. This means that you don't have to worry about moving from high to low symmetry geometries or *vice versa*. **Note:** It is possible that loss of symmetry elements will lead to problems in constructing a Walsh diagram. In these cases fit_walsh will warn you. If the diagram as constructed is incorrect, you can either change your reaction coordinate to not include geometries with problematic degeneracies or edit the `.WALSH` file by hand to fix it. This is explained in more detail below in the section on fitting programs.

YAeHMOP on the Macintosh

As of version 1.2 of YAeHMOP , there is a Macintosh port of everything. At the moment, the Mac version only runs on Power Macs. A port to the 68K based Macs is not suported.

The Mac port was done using the CodeWarrior compiler from Metrowerks. Source code and project files for the Metrowerks IDE are available upon request. Codewarrior is fantastic ! Metroworks prices it reasonably, includes a ton of useful examples and libraries, and has an excellent upgrade policy. In addition, the MW technical support is **excellent**.

The Fortran bits of the program were converted using f2c on our workstations, and then compiled on the Mac using a port of the f2c libraries. All input and output that would normally go to the console on a workstation is handled by the SIOUX library included with CodeWarrior. The basic structure of the graphics stuff used in viewkel was done using the EasyApp application shell distributed with CW.

4 A couple of disclaimers

The Mac version of YAeHMOP is not the most beautiful thing that the world has ever seen. Some of the operations are handled in an ugly, non-Mac way. This is a direct consequence of the program's Unix heritage. Hopefully, in some future version these difficulties will be eliminated.

The Mac version of the programs are not nearly as stable as the UNIX version, principally because the MacOS isn't a protected mode operating system.

5 Using bind on a Macintosh

When bind starts up it will open a standard file choice dialog, you should choose the input file in that dialog box. If the program has problems opening the parameter file (usually called `eht_parms.dat`), it'll pop up another dialog box. You should use that dialog box to find and select the parameter file. You can avoid this by having a copy of the parameter file in the same folder as the input file. To work around this make an alias for `eht_parms.dat`, copy it to the input folder, and then rename it `eht_parms.dat`.

6 The fitting programs

The fitting programs will open a file choice dialog on start up. You should pick the *input* file used to run the calculation.

7 General Mac hints

If you get errors about the programs not having enough memory or not being able to allocate matrices, increase the size of the memory allocation for the troublesome program. If you don't know how to do this: select the application you want to change, select "Get Info" from the File menu (or hit CMD-I), then increase the "Preferred Size" entry.

Sample Extended System Input File

This is an input file for doing a band structure and average properties calculation on a square 2 dimensional mesh of hydrogen atoms.

```
; the title
2-D mesh of hydrogen

; the geometry
Geometry
; number of atoms
3
; the positions
1 H 0.0 0.0 0.0
2 & 1.0 0.0 0.0
3 & 0.0 1.0 0.0
```

```
; lattice parameters
Lattice
; dimension of lattice
2
; number of overlaps along each lattice vector
4 4
; the lattice vectors (begin atom -> end atom)
1 2
1 3

; number of electrons per unit cell
Electrons
1

; band structure details
Band
; K points per symmetry line
40
; number of special points
4
; special points
Gamma 0.0 0.0 0.0
X     0.5 0.0 0.0
M     0.5 0.5 0.0
Gamma 0.0 0.0 0.0

; do average properties calculation
Average Properties

; do a COOP
COOP
; number of COOP's
2
; COOP specifications
; type  which    contrib1 contrib2   cell
orbital  1          1         1      1 0 0
orbital  1          1         1      0 1 0

; this averages H-H COOP's between cells (0,0,0)->(1,0,0) and (0,0,0)->(0,1

; the K points
K points
; number of K points
10
; the K points and respective weights
```

```
0.0625 0.0625 0.0000 1
0.1875 0.0625 0.0000 2
0.1875 0.1875 0.0000 1
0.3125 0.0625 0.0000 2
0.3125 0.1875 0.0000 2
0.3125 0.3125 0.0000 1
0.4375 0.0625 0.0000 2
0.4375 0.1875 0.0000 2
0.4375 0.3125 0.0000 2
0.4375 0.4375 0.0000 1

; end of file
```

The Fitting Programs

In order to generate nice looking DOS and COOP curves, it is necessary to either use hundreds of k points in the calculation or to smooth the data which is generated by bind . For obvious reasons, it is far more common to adopt the latter approach.

Smoothing of DOS and COOP curves is done by putting a gaussian on each data point, then summing up the contributions from each of the gaussians between the data points. This process gives rise to the type of curves we are used to seeing.

The parts of YAeHMOP which perform this smoothing operation are called `fit_dos` and `fit_coop`. These both take the name of the input file which was given to bind as an argument.

Here is a sample session:

```
% bind H_mesh.bind
% fit_dos H_mesh.bind
Enter E min: -30.0
Enter E max: 30.0
Enter broadening: 10.0
Enter Energy Step: 0.5
```

The broadening parameter given to the fitting programs is the exponent of the normalized Gaussian smoothing function. A larger broadening parameter gives rise to sharper lines in the DOS/COOP curves.

After this smoothing process, which produces either a `.DOS` or `.COOP` file, the data is ready for viewing with viewkel .

In order to view a Walsh diagram, the program `fit_walsh` must be run. `fit_walsh` is run the same way as `fit_dos` or `fit_coop`:

you give it the name of the input file which was given to bind . If you lose symmetry elements along the distortion coordinate and degeneracies are broken, it is possible that `fit_walsh` will get confused and generate a silly looking Walsh diagram. `fit_walsh` will warn you if this happens. If the output looks wrong in viewkel you can either manually edit the `.WALSH` file created by `fit_walsh` or rerun the calculation with more points along the distortion and use the program `dumb_walsh`, which ignores symmetry operations. If you take the `dumb_walsh` route, we recommend you use at least 30–40 points along the distortion. Hopefully a future version of the program will have a smarter version of `fit_walsh` so that these contortions are no longer necessary.

Other Utility Programs

There are a number of other utilities distributed with YAeHMOP . These are described below.

8 sub_dos and add_dos

These are used to manipulate .DOS files. `sub_dos` is used to subtract two DOS curves from each other. This is the basic operation needed for the Crystal Orbital Displacement (COD) analysis developed by Eliseo Ruiz and Santiago Alvarez [12]. COD is a very sensitive tool for tracking complicated interactions in the solid state. Running `sub_dos` without any arguments will give you the correct ordering of arguments.

`add_dos` is like `sub_dos` except that it adds two DOS curves together.

Note: It is very important that the DOS curves used for `sub_dos` are fit (using `fit_dos`) within the same energy window and with the same broadening and energy step. The programs will warn you about this.

9 cooperate

`cooperate` reads in the .DMAT file generated when bind is given the keyword Dump Distance Matrix and generates a COOP specification that can be pasted into an input file for bind . The output from `cooperate` needs very little modification before incorporation into

an input file. The necessary modifications are fairly obvious. Once again, running the program without any arguments will give you a complete list of possible arguments.

Contents of Files

The various programs in YAeHMOP produce different output files, the names of the files may be confusing.

For a run on a file named `example`, here are the names of the files produced and the contents of those files:

- `example.status`: status information about the job
- `example.out`: the main output file. Contains energies, occupations, average properties, etc.
- `example.walsh`: the values of variables which were printed out along each step of a reaction coordinate.
- `example.band`: the information needed by viewkel for constructing a band diagram.
- `example.DOS`: (generated by `fit_dos`) the information needed by viewkel to generate DOS curves.
- `example.COOP`: (generated by `fit_coop`) the information needed by viewkel to generate COOP curves.
- `example.WALSH`: (generated by `fit_walsh`) the information needed by viewkel to generate Walsh diagrams.
- `example.FMO`: contains the information needed by viewkel to generate FMO diagrams.
- `example.MO`: contains the information needed by viewkel to generate MO pictures.
- `example.DMAT`: generated when the dump distance matrix keyword is used, contains the information needed by `cooperate` to automatically generate COOP specifications for crystals.

Those Damn Bugs!

One thing to be aware of is that YAeHMOP is under development, so there are some features built into it which may or may not be permanent. We've tried to indicate wherever possible when things are not finished or are in the testing stages.

10 What is a bug?

There are two possible reasons for a calculation to screw up: a bug in the program or a user error. Please make sure that your input file is correct before you send in a bug report.

Any of the following things could indicate a bug:

1. bind gives you answers that don't make any sense at all
2. bind does something funny like not printing out something you told it to print out.
3. bind wanders off into space and never comes back (i.e. it runs forever).
4. bind crashes without giving you some idea of what happened.
5. bind seg faults and dies. (You see the message: `Segmentation Fault: core dumped`)

We have yet to see bind do anything like #3 above. If you do think that the run is taking too long, check the status file and make sure that it is still doing something.

bind should **never** do either #4 or #5 above. If either of these happen you have definitely found a bug. **Please report it**. The major cause of segmentation faults seems to be problems in the input files given to bind . Error checking routines are in place to catch many of these problems, but we probably missed a few. So please let us know if you find input file formats that give rise to segmentation faults without generating a warning.

viewkel is a slightly different story. The code for viewkel isn't nearly as clean or carefully written as that in bind . The result is that viewkel occasionally will dump core and/or die unexpectedly. We're aware of some of these problems and are working on them. If you can make viewkel dump core reproducibly, please let us know. Similarly, if the output from viewkel just looks wrong, tell us and we'll see what we can do.

11 What to do if you find a bug

In order for us to be able to fix bugs, we have to be able to reproduce the circumstances that gave rise to them. In order to do this, we

need a copy of the input file that caused the problem. Please include the following in any bug report that you send:

1. A description of what went wrong, or why you think the answers you got are wrong.
2. Copies of the input file (essential), and the status and output files (optional, but extremely useful).
3. Information about what kind of computer you are using (machine type and operating system version if possible).
4. Some way to get in touch with you.

Please send bug reports to the following email address:
`yaehmop@xtended.chem.cornell.edu`
Some (hopefully) helpful hints

12 Choosing how many overlaps to use

The overlaps specified determine how many unit cells the program uses when building the overlap matrix in K space. The important thing when answering this question is to remember that the goal is to include all unit cells surrounding the 'home' cell that have a non-zero contribution to the overlap matrix. The general criterion here is that you should go out far enough that the length of the lattice vector times the number of overlaps is between 10 and 20 Å. Some systems don't require this many overlaps, and some require more. It's safe to go with too many overlaps, though this causes bind to use more memory and go slower. If you don't have enough overlaps, the diagonalization procedure will fail. This will be reported in the status file after the program finishes running.

13 Choosing the number of k points to use

This is a tricky question. The right answer is that you should always do a k point convergence test for every calculation (i.e. you should try using a variety of different sampling densities and stop when you get convergence). However, this isn't always practical or possible. The general guideline we use is that the number of crystal orbitals

(number of orbitals in the unit cell times the number of k points) should be equal to 1000. This criterion is highly questionable when doing slab models of interfaces or surfaces, so be careful with these systems.

14 Choosing the number of points in band structures

Generally using 40 k points per symmetry line works fine. If your bands are flat, you can use less than this. If you are really worried about seeing weakly avoided crossings and you can't tell if you are seeing one, use more points.

15 Calculations on big systems

When doing calculations on large systems (where the meaning of large depends on how much memory your computer has), it's very good idea to do the average properties and band structure calculations separately. This is because average properties calculations use a lot more memory, and band structure calculations use a lot more k points. If you are nearing the limit of the memory available on your machine because of the demands of the average properties calculation, the band structure calculation will take much much longer than it has too. You are better off if you do the two runs separately. It's also a good idea to do the band structure once, then comment out the band part of the input file. That way if you add projected DOS's or COOPs later, you won't accidentally redo the band structure, which won't have changed.

Using viewkel

viewkel is written to display results on either X Windows displays or Tektronix terminals. The program will automatically detect whether or not X Windows are available and will use them if they are. Printing is handled by generating Postscript files which can then be directly printed or included in documents. The actual graphics calls used to draw the data are all included in a separate file, so it should be reasonably easy to port the program to other graphics systems.

Since the use of the X and Tek versions of viewkel is different, they will be dealt with separately.

Note: I haven't put much work into the command line (Tektronix) version of viewkel recently, so it doesn't have a lot of the features mentioned below and some of the features it purportedly does have may not work.

16 Using viewkel in X

When you start viewkel under X, it will open 2 windows. The first, and larger, window (the graphics window) is used to display output. The second window (the main button window) has buttons which are used to control the program.

The individual button windows and the functions of the buttons found therein are described below. Each button is only described once, so though many different windows have a **X Legend** button, it is only described once.

You can cause the program to redraw at any time (except when an isosurface is being evaluated) by middle clicking in any of the windows.

16.1 Special keys in viewkel

There are a number of keys that can be used in viewkel , some of these duplicate features found in button windows, some are unique.

- **q**: will cause viewkel to quit.
- **r**: switches into rotate mode.
- **t**: switches into translate mode.
- **c**: switches into center mode.
- **s**: switches into scale mode.
- **the spacebar**: switches into choose mode.
- **h**: in choose mode hides the selected atoms
- **+**: in choose mode shows previously hidden atoms

- **z**: rotates the selected molecule so that you are looking along the Z axis.
- **y**: rotates the selected molecule so that you are looking along the Y axis.
- **x**: rotates the selected molecule so that you are looking along the X axis.
- **1**: viewkel will prompt you for a file name to use, then write an input file for `rayshade`.
- **d**: writes the cartesian coordinates of the molecule to standard output.

16.2 General use of buttons

In order to avoid having to use a user interface library that may not exist on some machines, I wrote all the button code myself. This means that the buttons aren't necessarily the most beautiful things you've ever seen, and sometimes they behave in ways which are just plain wrong (for example, text can overflow out of the button). The most important thing from my perspective is that these buttons do work, and the code to deal with them is simple and small.

To activate a button, left click on it. If it is a toggle button, then the toggle will be changed. If the button is for changing the value of some variable, you will be prompted to enter a new value for that variable (no, I didn't write dialog box code).

Buttons which are for toggling the display of lines will show a sample of the line style to the right of the button. You can change this line style by right clicking in the toggle button.

16.3 The main button window

The buttons in the main button window are described below:

- **the Mode button**: This is the top button in the window. It displays what mode the program is using to manipulate the graphics displayed in the graphics window. Left clicking in this window changes the active mode. This mode determines what action the control keys have. The control keys are i,j,k,l,p,and

;. The first four of these form an inverted arrow on a standard keyboard.

The possible modes are:

- None: the control keys do nothing.
- Rotate: the control keys rotate the active object. i and k rotate about the y axis, j and l rotate about x, and p and ; rotate about z. Holding down shift while hitting any of these keys results in a larger rotation step. In versions of viewkel greater than or equal to 2.0, you can also rotate molecules in rotate mode by left clicking and dragging in the graphics window. This is easier to do than it is to explain, so try it out. **Note:** Rotations only apply to displayed molecules and MO's, not to graphs because that would be silly.
- Translate: the control keys translate the active object. i and k move along the y axis, j and l move along x, and p and ; move along z. Holding down shift while hitting any of these keys results in a larger step.
- Center: the control keys translate the center of the active object. i and k move along the y axis, j and l move along x, and p and ; move along z. Holding down shift while hitting any of these keys results in a larger step. This is different from the Translate mode for molecules and MO surfaces in that the molecule and the point that the camera used to construct the perspective view looks at are move simultaneously. This allows the molecule to be moved about the screen without the view changing. In versions of viewkel greater than or equal to 2.0, you can also change the center of your molecule by left clicking in the graphics window. When you do this, the center of the molecule will be moved to where you clicked. If you then drag, the molecule will move. **Note:** For anything other than molecules and MO surfaces Translate and Center are equivalent.
- Scale: the control keys change the size of the active object. j shrinks along x, l grows along x, k shrinks along y, i grows along y, ; shrinks along z, and p grows along z. Once again, holding down shift while hitting a key increases the size of the step.

- Choose: Left clicking on atoms selects them. Right clicking then either displays the distance between those atoms (two selected), the angle between them (three selected), or the relevant dihedral angle (four selected). A label is placed at the location of the right click and lines are drawn to the controlling atoms. If you right click with just a single atom selected, the coordinates and identity of that atom will be printed in the window from which you ran viewkel . This is a convenient way to find the coordinates of a particular atom if you forget (or if you have centered the molecule using the "center" button in the molecule option window). The labels displayed in Choose mode remain on screen until the Clear Labels button is hit.

- **Read Molecule**: Reads in the atomic positions of a molecule. You will be prompted for the name of the **output** file containing the geometry. Enter the name of the file in the window from which you started up viewkel . The molecule will be read in and displayed and a molecule button window will be opened.

- **Read MO**: Reads in the specification of an MO. You will be prompted for the name of the **input** file used to perform the calculation. Enter the name of the file in the window from which you started up viewkel . The program will read in the geometry of the molecule itself from the `.out` file and the MO specification from the `.MO` file. You will be prompted for which MO you which to use if there are multiple MO's in the `.MO` file. **Note:** To use this option you must have specified MO printing when bind was run.

- **Read Contours**: Reads in a contour plot. You will be prompted for the name of the file containing the contour data. This option is primarily intended for dealing with FCO plots.

- **Read FMO**: Reads in FMO data and constructs an interaction diagram. You will be prompted for the name of a `.FMO` file. viewkel will read in the FMO data, construct an interaction diagram, and open an FMO options window.

- **Read Props**: Reads in average properties (DOS, COOP or COD) data and displays it. You will be prompted for the name

of a data file (either `.DOS`, `.COOP`, or `.SUB`). The program will read in the data and open a property options window.

- **Read Walsh**: Reads in data for a Walsh diagram. You will be prompted for the name of a `.WALSH` file. viewkel will read in the data and open a walsh option window.
- **Read Bands**: Reads in the data to display a band structure. You will be prompted for the name of a `.bands` file. viewkel will display and band structure and open a bands option window.
- **Read Graph**: Reads in raw data for a graph. You will be prompted for the name of the file containing the graph data. This allows construction of very primitive graphs. This option just exists because it was easy to do. viewkel is not intended to be a general purpose graphing program.
- **Fill Proj.s**: Toggles filling of projected DOS curves. On screen these will be shown shaded, in the printed output they will be lined. **Note:** in the Macintosh version of viewkel , this keyword has no effect on the way things are displayed on screen, it does still affect the Postscript output.
- **Purge!**: Deletes all currently displayed objects and closes all of their button windows.
- **Printing Options**: Opens a window which allows you to change some of the default behavior for the Postscript printing.
- **Print**: Prompts for the name of a file, then redraws the screen, writing its contents to the file as Postscript. This file can then be printed however you normally print `.ps` files.
- **Clear Labels**: Removes labels from the display. They will not be refreshed, so once you clear them, they are gone.

16.4 The PS options button window

This window allows you to control some of the default behavior of the Postscript printing from viewkel .

- **Location**: This button controls where the graph is located on the output page. There are three possible values: Top, Middle and Bottom. The default is Bottom.

- **Font**: Allows specification of the standard text font. The default is Times–Roman. **Note:** This option can be overridden using the enhanced Postscript commands (described in an Appendix).
- **Font Size**: Allows specification of the standard text font size (in points). The default is 12.
- **Scale**: Allows scaling of the whole output. This does *not* affect the size of the text font, to change that use the **Font Size** button.

Note: None of the changes made in this window will be visible on screen.

16.5 The molecule button window

This is the window which is popped up when a molecule is opened. It is used to control the viewing options for the molecule being displayed.

- **Hydrogens?**: Toggles drawing of hydrogens.
- **Dummies?**: Toggles drawing of dummy atoms.
- **Center**: When this is pressed, the molecule is moved so that it is centered at the center of mass (**Note:** since viewkel doesn't actually know what the masses of your atoms are, the center of mass is actually calculated assuming all the atoms have the same mass. The resulting positioning is usually still right.).
- **Hide Atoms** Allows you to make it so that some atoms in the molecule aren't displayed. You will be prompted for a list of atoms to hide. Enter a comma delimited list. You can use the dash (hyphen) just as it was used in the FMO specification. For example, the following list will hide atoms 1-23 and 99:
  ```
  1-23, 99
  ```
- **Show Atoms** Allows you to "unhide" atoms you may have hidden before.
- **Axes?**: Toggles display of a set of axes on screen.

- **Outlines?**: Toggles the drawing of dark circles around the atoms being displayed.
- **Shading?**: Toggles shading of the atoms being displayed.
- **Crosses?**: Toggles display of pseudo-3D crosses on the atoms. If this is turned on and shading is turned off, the interiors of the atoms are drawn as solid white with the cross superimposed.
- **Connectors?**: Toggles drawing of lines connecting atoms which are a distance within *bond_tol* (see below) of the sum of their covalent radii apart.
- **Fancy Lines?**: When this is on lines between atoms are drawn as if they are intersecting the sphere of the atoms. When off, the lines are drawn all the way to the center of the atoms. Turning "Fancy Lines" off is useful when drawing a structure without the atoms being displayed.
- **Breaking Lines?**: When this is turned on, lines "cut" those behind them that they intersect.
- **Tube Lines?**: Toggles display of bonds as tubes instead of lines. Tubes are drawn as a white center with a black edges and cut lines behind them just like breaking lines. **Note**: if both "Tube Bonds" and "Breaking Lines" are turned on, only the Breaking Lines will be drawn.
- **Numbers?**: Toggles display of the numbers of atoms.
- **Symbols?**: Toggles display of atomic symbols.
- **Line Width**: Used to control the thickness of the lines drawn between atoms.
- **Bond Tol**: Used to enter a new value of *bond_tol* . When this is changed the lines between atoms are recalculated.
- **Rad Scale**: Used to control the scaling of the circles drawn for atoms.
- **Grow Xtal!**: This button only appears for molecules that have lattice parameters in the output file (i.e. extended systems). Clicking this allows you to show more than one unit cell.

16.6 The MO button window

Note: This section is substantially changed since viewkel version 1.2.

When an MO isosurface is opened, two button windows are opened. One of these windows is a Molecule window as described above. The other window is used to control the drawing of MO isosurfaces on screen. These options are described below.

There are two ways to draw isosurfaces in viewkel version 2. The first method draws the isosurface just as it was done in version 1.2: a solid isosurface made up of filled triangles is drawn. These isosurfaces do not look particularly good when drawn in viewkel, but generate very nice illustrations when rayshade is used to raytrace the object. The second method draws Jorgenson and Salem style contour plots of the surface, with hidden line removal. These plots are similar to those generated by CACAO and PSI88. I will refer to these as "solid" surfaces and "contour" surfaces respectively. Finally, this button window is also used to generate a two dimensional contour plot of an MO, I'll refer to these as "contour plots".

While some buttons apply to both types of figures, the majority control only solid *or* contour surfaces.

To explain what the solid surface buttons do, it is necessary to understand how the calculation of solid isosurfaces is done. The algorithm used to generate the polygonal isosurface is taken from a section by Jules Bloomenthal (at Xerox PARC) in the book *Graphics Gems IV*. The algorithm as published is suitable for polygonalization of continuous surfaces. Unfortunately, isosurfaces of molecular and crystal orbitals are rarely continuous. They are, instead, made up of a number of discrete parts (usually lobes centered on particular atoms). In order to work around this problem, Bloomethal's algorithm has been modified to look for individual pieces of the surface around each atom in the molecule. The algorithm starts at the corners of a cube of side length *search_radius* centered on each atom. The default value of *search_radius* seems to work most of the time, if you see that lobes are obviously missing, try changing the value of *search_radius* . The algorithm evaluates the MO values on a grid of spacing *voxel_size* . Increasing *voxel_size* results in quicker evaluation and drawing of the surface (less triangles are found, so the surface can be drawn more rapidly), but the resolution suffers. Decreasing *voxel_size* gives a smoother surface, but it takes much

longer to calculate and to draw. My best advice here is to start with the default value (0.2Å) and then play around with it to see what size suits your purpose.

Some Cautions about solid isosurfaces:

- I haven't had a chance to do shading (simulated lights) of the isosurfaces. I think that this will improve the appearance of the final images.
- A heuristic needs to be developed to warn the user when lobes of the MO might have been missed. I have some ideas here, but I'm still working on them.

When the surface is first read in, the user is prompted for values used in constructing the radial lookup table. This is a table of values for the radial part of the wavefunctions. Use of this lookup table results in a tremendous gain in speed. The default values should be fine for almost any calculations.

One important note about *both* solid and contour isosurfaces: viewkel does not deal with the imaginary part of wavefunctions yet. I will put this in later, until then, limit yourself to pure real wavefunctions. You'll always be okay with molecular wavefunctions, but general k points for extended systems will be problematic.

Well, with my salvo of disclaimers fired, here are the explanations of the buttons in the MO options window.

- **Surface?**: Toggles display of the solid isosurface once it has been calculated.
- **Molecule?**: Toggles display of the molecule.
- **Isosurface**: This is the isosurface value that is displayed on screen. This is applies to both solid and contour isosurfaces.
- **Voxel Size**: The spacing of the grid used to calculate the solid surface.
- **Search Rad**: The size of the cube around each atom used to provide starting points for the solid surface search.
- **Slop**: Used to determine how far past the end of the molecule's bounding box the solid isosurface grid extends.

- **Exclude Atoms**: Used to remove atoms from the calculation of the isosurface. For example, if you are doing a 7 layer metal slab and are only interested in the surface states (a topic near and dear to my heart), you can exclude the atoms which are in the middle of the slab. This will result in a cleaner looking, more quickly evaluated isosurface. You will be prompted to enter a list of atoms, the syntax here is the same as for the Hide Atoms button described in the Molecule options section.
- **Include Atoms**: This is used if you make a mistake when you exclude atoms. It turns the atoms you switched off back on.
- **Surf Evolve**: Starts the calculation of a solid isosurface.
- **Change MO**: Allows you to change which MO you are looking at without having to reload the whole molecule.
- **Tri_Shading?**: Toggles filling of the triangles which make up the displayed solid isosurface.
- **Tri_Outlines?**: Toggles the drawing of outlines around the triangles which make up the solid isosurface.
- **Contours?**: Toggles display of the calculated contours that make up either a contour plot of an MO or a contour isosurface.
- **Contour Mode**: This mode button determines the method which is used to generate contour levels in contour plots. There are three possibilities: Auto *num_contours* contour levels are automatically selected between the maximum and minimum values in the data set; Incremental, you will be prompted for a starting value and a step between contours when the contour plot is evaluated; Discrete, you will be prompted to enter the *num_contours* contour values when you evaluate the contour plot.
- **Contour it**: Evaluates a contour plot. You will be prompted for:
  - the orientation of the plane used to evaluate the contours (i.e. perpendicular to X, Y or Z)
  - the height and width of the plane in which the MO will be evaluated.

- the number of steps to take along each side of the evaluation plane.
- the number of contours you want to use
- an offset, this shifts the evaluation plane off the origin, useful if you want to contour an MO where most of the density isn't at X,Y, or Z = 0.
- Whether or not you want to do a "stack" of contour plots. If you say yes here, you will be prompted for the number of planes you want in the stack, the location of the start of the stack, and the distance between planes in the stack. What this does is generate a series of plots which are parallel to each other and located at different heights. This is a quick and dirty way to get a feeling for the shape of an MO. **Note:** if the contour mode is Auto, viewkel will generate the contour levels automatically in the first plane of the stack, but then will use those contour values in all other planes.

viewkel will then go off and evaluate the values of the MO in the plane, contour that data, show you the values of the contours it found, and finally display those contours (if the Contours? toggle described above is switched on). MO contour plots are real three dimensional objects just like the molecule they are associated with, and will rotate, translate, and scale in three dimensions along with the molecule. This feature seems gratuitous, but it's kind of fun to play with and it was a lot easier to implement than having the contour be a fixed 2D object. It can even be useful: if you rotate the molecule so that you are looking at the edge of the contour plot, you can see exactly where it cuts through your molecule.

- **Contour Surf**: Evaluates an MO contour plot.
- **Invert Phase**: This toggle allows you to invert the phase of the MO displayed on screen. This can be helpful when you want to visually compare two similar MO's that have opposite phases: just invert the phase of one of them.
- **Hidden**: Toggles the use of the hidden line removal algorithm for contour plots and MO surfaces. When *Hidden* is on, you

should no longer be able to "see through" the MO isosurface. However, this can make it take a lot longer to draw plots, so you may want to have *Hidden* turned off when you are rotating your molecule. The hidden line removal does not work particularly well for normal contour plots, but viewkel will still allow you to use it if you wish. **Note**: there are still some boundary conditions that get screwed up sometimes in the hidden line removal. If a plot looks bad: rotate the molecule a small amount, that should clear things up. **Very, Very Important Note**: The hidden line removal algorithm is not guaranteed to work at all if you have some contours displayed which are not closed (this can arise if the plane in which you evaluated the MO was too small). Sometimes it works, sometimes it does stuff that is very, very wrong.

- **Grow Xtal**: This grows the crystal and propagates the MO coefficients with the correct phase factors for the given k point. If you want to display the MO of an extended system in more than one unit cell, you **must** use this button to grow the crystal. Using the **Grow Xtal** button in the Molecule button window will not correctly propagate the MO coefficients.

Note: If are looking at an extended system, and you want to see the crystal orbital in more than the home unit cell, you must evaluate the isosurface after growing the crystal.

16.7 FMO button window

This window contains buttons for controlling the display of an FMO interaction diagram.

- **Electrons**: Controls the drawing mode for the electrons in the interaction diagram. Each mode is described below:
  - None: Do not draw electrons.
  - HOMO: Draw electrons only in the highest occupied level of each fragment and the molecule.
  - All: Draw electrons in all occupied levels on screen.
- **Levels**: Toggles display of the levels in the FMO diagram.

- **Left Fragment**: Controls which fragment is displayed on the left side of the interaction diagram. Set this to zero or -1 to not show a fragment on the left side.
- **Right Fragment**: Controls which fragment is displayed on the right side of the interaction diagram. Set this to zero or -1 to not show a fragment on the right side.
- **Y min**: This is the minimum energy displayed.
- **Y max**: This is the maximum energy displayed.
- **Level Width**: The width (horizontal length) of the levels drawn.
- **Thickness**: The thickness of the lines used to draw the levels.
- **Electron len**: The length of the lines used to represent electrons.
- **Y tics**: Toggles the display of tic marks on the y axis.
- **Frame**: Toggles the display of a frame around the interaction diagram.
- **Show Title**: Toggles display of the title of the graph (if one has been entered).
- **Title**: Allows you to enter a new title to be displayed across the top of the graph.
- **Main Label**: Allows you to enter a new label for the central set of levels (those of the full molecule).
- **Frag n Label**: There will be one of these buttons for each fragment used in the calculation. These are used to enter labels to be displayed under each fragment displayed.

16.8 Walsh button window

This window is used to control the display of Walsh diagrams. The coordinate used to label the x axis is specified when fit_walsh is run.

- **MO's**: Toggles display of the MO levels.
- **Total E**: Toggles display of the total energy.

- **X tics**: Toggles display of X tics.
- **MO tics**: Toggles display of Y axis tic marks (for the energies of the MO's displayed) on the left side of the diagram.
- **Tot E tics**: Toggles display of Y axis tic marks (for the total energy curve) on the right side of the diagram.
- **X Legend**: Allows you to enter a legend to be displayed under the X axis.
- **Y Legend**: Allows you to enter a legend to be displayed beside the Y axis.

16.9 Band button window

Controls the display of band structure data on screen.

- **Bands**: toggles display of the bands.
- **Show Fermi**: toggles display of the Fermi energy on the band graph.
- **Fermi E**: allows you to enter a value for the Fermi energy. The location of the Fermi energy is not stored in the band file, so you must enter this yourself to get an accurate value.

16.10 Properties button window

This is used to control display of average properties data (DOS, COOP, or COD data).

- **Curve n**: where n is an integer. This is a toggle to control display of each curve in the file. The numbering of the curves varies from type to type:
  - DOS data: Curve 1 is the total DOS. Projections are labeled starting from Curve 2. The projections are in the order in which they were specified in the input file.
  - COOP data: The curves are numbered in the same way as the COOPs were numbered in the input file.
  - COD data: There is only one curve per COD file, this is curve 1.

- **Integration n**: where n is an integer. These toggle display of the integration of the corresponding curve.
- **Integ Scale**: toggles use of the integration data to label the X axis. This is particularly useful for integrated DOS data. There are problems with using this option for COOP and COD data. These will be fixed in the next version of the program.
- **Fermi E**: allows you to change the value of the Fermi energy. For DOS and COOP data the value of the Fermi energy is stored in the output file, so viewkel will know the proper value.

16.11 Graph button window

This controls displays of graph data. There are no new buttons for control of graph data.

17 Using viewkel from a Tek terminal

When you are sitting at a Tek terminal (Tek 4014 compatible), viewkel will use a command line driven interface and will use the graphics capabilities of the terminal to display graphs.

You can get help in the Tek version of the program by typing "help" or "?" at the prompt. This will list all the commands along with a brief description. Since this is available, I will not describe all the features here.

Though it can be convenient, the Tek interface does have some limitations:

- You can not look at either molecules or MO's.
- Not all features of each type of graph are modifiable.
- Once a new graph has been opened, previously opened (and displayed) graphs cannot be modified.
- Due to limitations of the technology, the graph you see onscreen is not nearly as similar to what comes out of the printer as in the X windows version of viewkel .

Note: It has been a long time since I have worked on the Tektronix version of viewkel , so it's not guaranteed to work at all.

Using the enhanced Postscript features in viewkel

It is now possible to include superscripts, subscripts, different fonts, and all kinds of other wacky stuff in output from viewkel . This is accomplished using an adaptation of the enhpost terminal type from gnuplot. If you are familiar with this, then you don't have to read this section, everything is exactly the same here *except* that you can't do rotated text.

To get a superscript, use the ^ symbol. ^ only holds for one character unless a group of characters is enclosed in squiggly brackets ({ and }), so the line:

```
X^10
```

comes out looking like:

X^{1}0,

while the line

```
X^{10}
```

gives:

X^{10}.

To get a subscript, use the _ symbol. _ behaves in the same manner as ^ .

To change font, put a backslashed version of the name of the font inside squiggly brackets with the text to be changed. For example

```
look at {/Symbol G} now
```

gives:

look at Γ now.

There are some other features of the enhpost drivers, but these are the most important.

A word (or two) from Greg ... some acknowledgements

The members of the Hoffmann group were invaluable in the development and testing of these programs. They provided moral support, bug reports, featur suggestions, and esthetic criticisms that were invaluable. The group members most involved were: Hugh Genin, Norman Goldberg, Kimberly Lawler, Qiang Liu, Erika Merschrod, Udo Radius, Grigoriy Vajenine (who pointed out that I

should be evaluating the radial parts of wavefunctions in atomic units), and Kazunari Yoshizawa. In addition, the students and auditors of Chemistry 798 in the fall of 1994 and the spring of 1995. acted as unwitting beta-testers and uncovered a few problems I never would have found. Roald Hoffmann (my advisor) was very supportive of my efforts and never chastised me for how much time I was devoting to this project. Of course, he **did** accuse the program of being vaporware, but this should nip that criticism in the bud. Thanks Roald!

Edgar Müller has provided a number of helpful suggestions as well as some Fortran code which served as the template for the code to deal with crystallographic coordinates. Edgar also came up with a consistent parameter set for the entire periodic table. This parameter set is distributed with this release of the program as `muller_parms.dat`.

Paul Kögerler has also provided suggestions for features which are now integrated and has even agreed to add some features himself. Expect to see these in a future release.

The function used to diagonalize the inertia tensor as part of the symmetry analysis is taken from the meschach library. This is a freely available package of functions written in C for working with matrices. meschach was written by David Stewart and Zbigniew Leyk at the Australian National University. If you want to use this function in your own code, please obtain a copy of the entire library. I want to go ahead and take the chance to thank David Stewart for making this library freely available. At this point I can't help but interject a piece of propaganda: free software is top quality stuff, find out about it and use it!

The basis of the code to calculate solid isosurfaces was taken from the article "An Implicit Surface Polygonalizer" by Jules Bloomenthal in *Graphics Gems IV*, Academic Press, 1994. If you do graphics, taking a look at these books is a really good idea.

The algorithm used to do hidden line removal in the Jorgenson and Salem style MO plots is a slight modification of that used in Jorgenson's PSI88 program. Because PSI88 is written in Fortran, none of the code from the program was used, I just used PSI88 to figure out the algorithm.

The enhanced postscript code is adapted from the file enhpost.trm for gnuplot version 3.5. The original code was written by David Den-

holm and Matt Heffron, both of whom have given me permission to distribute this adaptation.

The code used to contour data (both for FCO and MO plots) is adapted from that used in gnuplot version 3.5 (I love borrowing code from gnuplot!). The original code was written by Gershom Elber and this modification is distributed with his permission.

Using the LAPACK diagonalizer

bind can now use a function from the LAPACK library (`zhegv`) to diagonalize the hamiltonian. This diagonalizer is significantly faster than the default routine `cboris`. In addition, `zhegv` can be used to generate just the eigenvalues of a matrix. This speeds up band structure calculations and properties calculations with the Just Average E keyword enormously.

If you have a binary distribution of the program, it will use `zhegv` to diagonalize (this is, unfortunately **not** true of the Mac version of the program, which still uses `cboris`). If you have a source distribution, you can turn on the LAPACK diagonalizer by including `-DUSE_LAPACK` in the `CFLAGS` line of the makefile. Most of the functions necessary to use LAPACK with YAeHMOP are distributed in the file `zhegv.f`. This is the good news. The bad news is that `zhegv.f` will not work unless you have an implementation of the Basic Linear Algebra Subroutines (BLAS) library installed on your machine (this is why the Mac version doesn't currently support LAPACK). Most modern distributions of UNIX include a specially tuned version of the BLAS library (it's usually `/usr/lib/libblas.a`), so you should be able to use LAPACK. In addition, many UNIX implementations will include (as an optional product) a tuned version of LAPACK. The name of this library is very system dependant, so you'll have to determine if it exists (if you have an SGI, it's `/usr/lib/libcomplib.sgimath.so`). If you do have a vendor-supplied version of LAPACK, it's advisable to use it instead of the `zhegv.f` distributed with YAeHMOP , the vendor product tends to be faster.

If you are willing to compile BLAS for your system, or if you want the full LAPACK distribution, you can get them from the netlib server (`http://netlib.att.com`). Netlib is a **great** source for numerical routines.

Distributing and Modifying YAeHMOP

You can distribute YAeHMOP to anybody you like. It's intended

to be given away. However, you cannot charge others for the distribution. We are firm believers in free software, and would like YAeHMOP to remain freeware.

The programs which make up YAeHMOP are available in source form. If there is a feature lacking which you feel really should be there, feel free to add it. If you think that others might like to use this feature, let us know and we'll see about integrating it into the main distribution. This is much easier if you clearly indicate in the source code where you have made changes and, if possible, send a context sensitive diff of the modified source files. If you find and fix bugs, please do the same thing.

Updates

YAeHMOP is still under development. The next version will contain bug fixes and other great stuff. If you send us email (yaehmop@xtended.chem.cornell.ed and let us know that you have the program, we can send you mail and let you know when the next version is done. If you don't let us know that you have the program, you'll just have to keep checking our anonymous ftp site (ftp://overlap.chem.cornell.edu/dist/yaehmop) or the YAeHMOP home page on the World Wide Web (http://overlap.chem.cornell.edu:8080/

Citing YAeHMOP

If you publish calculations and/or figures that you produce using either bind or viewkel , we'd appreciate it if you'd cite the program in your references section. At this point, there are no print publications describing YAeHMOP , so there's no obvious citation to give.

Please use the following citation for bind :
G.A.Landrum and W.V.Glassey, bind (ver 3.0). bind is distributed as part of the YAeHMOP extended Hückel molecular orbital package and is freely available on the WWW at;

http://sourceforge.net/projects/yaehmop/

and the following citation for viewkel :
G.A.Landrum, viewkel (ver 3.0). viewkel is distributed as part of the YAeHMOP extended Hückel molecular orbital package and is freely available on the WWW at;

http://sourceforge.net/projects/yaehmop/

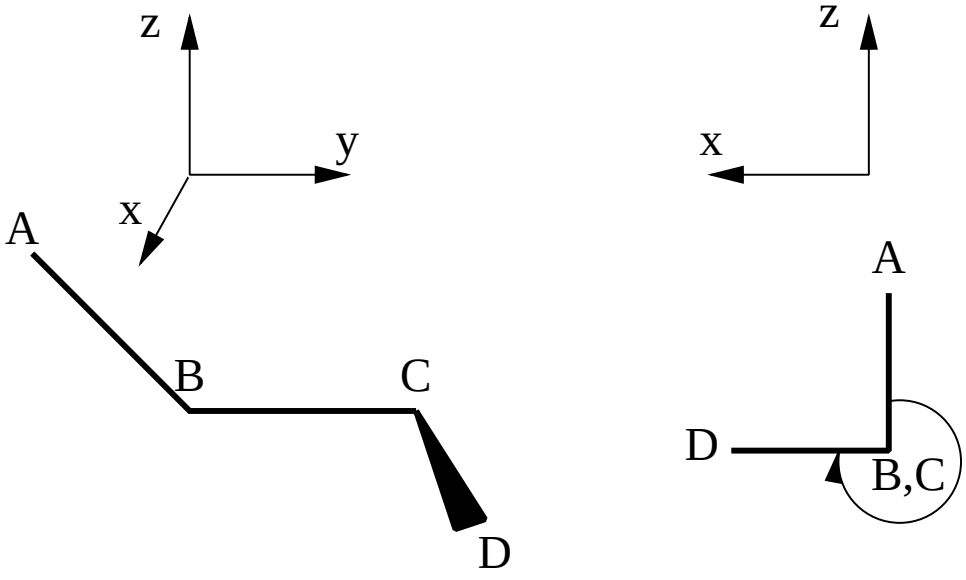
Specifying your geometry with a Z-Matrix

A Z-Matrix is a convenient way to specify the geometry of a molecule or crystal in terms of bond lengths, bond angles, and dihedral angles. There are several styles of Z-matrix used in various programs, bind uses a format similar to that used in Gaussian. This is intended to be a brief introduction to how a Z-matrix works.

If you already know how to use a Z-matrix, here's all you need to know about the implementation in bind :

- The first atom is put at the origin.
- The second atom is put along the Z axis.
- The third atom is in the XZ plane.
- Dihedrals are evaluated using the right hand rule.

The easiest way to explain a Z-matrix is to show one and then explain it, so that's what we'll do. Before we start, however, we need to briefly define a dihedral angle. A dihedral is specified by 4 atoms, we'll call them A, B, C, and D. The dihedral A–B–C–D is the angle between the plane defined by A–B–C and the plane defined by B–C–D. Here's an alternative explanation: the dihedral A–B–C–D is the angle between the lines C–D and B–A if you are looking down the line C–B. There's one more piece of information we need to fully understand the dihedral: there is a handedness associate with them. If you think about it, looking down the line C–B there are two different angles between lines C–D and B–A: θ and 360-θ. The dihedrals in bind are defined using the right hand rule: Take your right hand and point the thumb down the line C–B, now align your fingers with the line C–D, curling your fingers shows the direction in which the dihedral angle is measured. This is all about a million times easier to understand using a picture, here's a picture demonstrating both views of dihedrals and their handedness.



The Molecule

The dihedral: A-B-C-D

With that definition under our belt, here's the Geometry specification for a square pyramidal $(CH_3)BiI_4$ fragment, where the CH_3 group is along the Z axis and the Bi and four I's lie in the XY plane:

```
Geometry Z Matrix
9
1 Bi
2 C 1 2.1
3 I 1 2.7  2  90.0
4 I 1 2.7  2  90.0  3  90.0
5 I 1 2.7  2  90.0  3 180.0
6 I 1 2.7  2  90.0  3 270.0
7 H 2 1.1  1 109.5  3   0.0
8 H 2 1.1  1 109.5  3 120.0
9 H 2 1.1  1 109.5  3 240.0
```

Let's look at the first few entries in more detail.

1. The first atom is a Bi and it's placed at the origin. Cartesian: (0 0 0).
2. Atom two is a C. It's placed on the Z axis, 2.1 Å away from atom 1. Cartesian: (0 0 2.1).

3. Atom three is an I. It's placed 2.7 Å away from atom 1 and the angle between atoms 3–1–2 in the XZ plane is 90.0 degrees. Cartesian: (2.7 0 0).

4. Atom four is an I. It's placed 2.7 Å away from atom 1, the angle 4–1–2 is 90 degrees. This angle puts us in the XY plane. At this point we know that atom 4 lies on a circle in the XY plane with radius 2.7 Å. The dihedral 4–1–2–3 (90 degrees) tells us where on the circle we are. This dihedral is particularly easy to see: if we look down the bond 2–1 (which is looking down the Z axis), the angle between the bond 3–1 and the bond 4–1 is 90 degrees. So atom 4 lies on the Y axis. Taking the right-handedness of dihedrals into account, we know that atom 4 lies on the negative Y axis. Cartesian (0 -2.7 0).

5. Atom five is an I. It's 2.7 Å away from atom 1, making an angle of 90 degrees with 2 and a dihedral of 180 with 3. This puts us on the negative X axis. Cartesian (-2.7 0 0).

If you find the handedness of dihedrals confusing, just play around with a couple of molecules defined using Z matrices, you'll get the hang of it fairly quickly.

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